

Federal Funding Accountability and Transparency Act (FFATA) Request Form

New Jersey Department of Environmental Protection (NJDEP)

The NJDEP is required under the Federal Funding Accountability and Transparency Act (FFATA) to collect subrecipient information. This form is required for all Financing Loans. Please complete one form for **each** NJEIFP project number.

Legal Name of Entity Receiving Subaward				
DUNS Number (required)			Parent Entity DUNS Number (if applicable)	
Federal Agency U.S. Environmental Protection Agency	Federal Grant Award Name CFDA Title / No. Clean Water State Revolving Fund CWSRF: 66.482 Sandy CWSRF: 66.458 base loan		Program Source 68 0102	Transaction Type Loan
NJEIFP Project Number: Project Title:			REQUIRED - Current and valid registration in Central Contractor Registration/System for Award Management (SAM) (www.sam.gov)? <input type="checkbox"/> Yes REQUIRED - Agree to maintain current and valid SAM registration at all times during which a federal subaward is active or under consideration by the NJ Dept. of Environmental Protection? <input type="checkbox"/> Yes	
Location of Entity Receiving Award				
City	State	Zip+4	Congressional District	County
Principal Place of Performance				
City	State	Zip+4	Congressional District	County
Reporting of Total Compensation of Subrecipient Executives Required (see attached) <input type="checkbox"/> Yes <input type="checkbox"/> No				
SIGNATURE OF AUTHORIZED REPRESENTATIVE			DATE	
By signing this document, the Loan Recipient Authorized Representative attests to the information. The NJDEP will not endorse the subaward until this form is completed and included in the agreement.				
TO BE COMPLETED BY NJDEP				
Subaward Amount (Non-Trust portion of the loan, including principal forgiveness amount) Federal SRF \$ _____ Non-Federal \$ _____ TOTAL \$ _____			Federal Grant Award No.	

Reporting of Total Compensation of Subrecipient Executives.

1. Applicability and what to report. You shall report the names and total compensation of each of the your five most highly compensated executives for your preceding completed fiscal year, if --

i. in the preceding fiscal year, you received—

(A) 80 percent or more of your annual gross revenues from Federal procurement contracts (and subcontracts) and Federal financial assistance subject to the Transparency Act, as defined at 2 CFR 170.320 (and subawards) (Check One ☐ Yes ☐ No); and,

(B) \$25,000,000 or more in annual gross revenues from Federal procurement contracts (and subcontracts), and Federal financial assistance subject to the Transparency Act (and subawards) (Check One ☐ Yes ☐ No); and

ii. The public does not have access to information about the compensation of the executives through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986. (To determine if the public has access to the compensation information, see the U.S. Security and Exchange Commission total compensation filings at <http://www.sec.gov/answers/execomp.htm>.) (Check One ☐ Yes ☐ No)

2. If the answer to all three questions in paragraph 1. of this section is “Yes”, then enter the full name and total compensation for the five highest paid individuals below:

Executive Name	Executive Compensation
1.	\$
2.	\$
3.	\$
4.	\$
5.	\$

i. If Reporting of Executive Compensation is required above, check “Yes” on the FFATA form, otherwise check “No”.

3. Definitions. For purposes of this award term:

i. Executive means officers, managing partners, or any other employees in management positions.

ii. Total compensation means the cash and noncash dollar value earned by the executive during the recipient’s or subrecipient’s preceding fiscal year and includes the following (for more information see 17 CFR 229.402(c)(2)):

a) *Salary and bonus.*

b) *Awards of stock, stock options, and stock appreciation rights.* Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with the Statement of Financial Accounting Standards No. 123 (Revised 2004) (FAS 123R), Shared Based Payments.

c) *Earnings for services under non-equity incentive plans.* This does not include group life, health, hospitalization or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.

d) *Change in pension value.* This is the change in present value of defined benefit and actuarial pension plans.

e) *Above-market earnings on deferred compensation which is not tax-qualified.*

f) Other compensation, if the aggregate value of all such other compensation (e.g. severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property) for the executive exceeds \$10,000.